

INLAND COUNTIES EMERGENCY MEDICAL AGENCY

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April 6, 2005

TO: Inyo, Mono and San Bernardino Counties EMCC Members
Base Hospital ED Directors, Nurse Managers, PLNs
Receiving Hospital ED Directors, Nurse Managers
ALS Providers
BLS Providers
EMS Aircraft Providers
EMS Training Institutions

FROM: Virginia Hastings, Executive Director
Conrad Salinas, M.D., Medical Director

SUBJECT: ICEMA Quality Improvement Plan – 45-day Comment Period

Enclosed is the draft ICEMA Quality Improvement Plan based on California Code of Regulations, Title 22, Division 9, Chapter 12, EMS System Quality Improvement. The draft is the result of review by the ICEMA QI Committee and ICEMA staff.

The State EMS Authority California Vision Group D, System Evaluation and Improvement Group have developed sample quality indicators. The ICEMA QI Committee has begun the process of reviewing the sample indicators and as a result, Base Hospital indicators have been reviewed and approved (see Appendix M). The QI Committee will review at their April meeting prehospital cardiac indicators. As the indicators are reviewed and approved, copies will be provided to all constituents for inclusion as part of Appendix M of the ICEMA QI Plan. The indicators will be utilized by ICEMA and the QI Committee to evaluate the EMS system and make recommendations for improvement as indicated.

We would appreciate your taking this opportunity to review and comment on the proposed plan. **Written comments will be accepted until Wednesday, May 25 at 5:00 p.m.** Comments may also be sent via e-mail to Vhastings@dph.sbcounty.gov.

VH/CS//DF/wp
Enc.

ICEMA EMS SYSTEM FIVE-YEAR QUALITY IMPROVEMENT PLAN



Inyo, Mono & San Bernardino Counties

D R A F T FOR 45-DAY COMMENT

EMS SYSTEM QUALITY IMPROVEMENT PLAN DRAFT April 4, 2005

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EMS SYSTEM QUALITY IMPROVEMENT PLAN

A. INTRODUCTION

In 1991, the California EMSA promulgated legislation, which mandated that local EMS agencies establish a system-wide quality assurance program. This legislation required EMT-P service providers and base hospitals to develop and implement a quality assurance program approved by the local EMS agency. EMS System Quality Improvement Regulations and guidelines became effective October 10, 2004.

B. PURPOSE

To provide an effective method of evaluation focusing on improvement efforts to identify root causes of problems, intervene to reduce or eliminate these causes, and take steps to correct the process and recognize excellence in performance and delivery of care consistent with State regulations.

C. DEFINITIONS

Emergency Medical Services System Quality Improvement Program

"Emergency Medical Services System Quality Improvement Program" or EMS QI Program means methods of evaluation that are composed of structure, process, and outcome evaluations which focus on improvement efforts to identify root causes of problems, intervene to reduce or eliminate these causes, and take steps to correct the process and recognize excellence in performance and delivery of care.

NOTE: Authority cited: Sections 1797.103, 1797.107, 1797.174, and 1797.176 Health and Safety Code. Reference: Sections 1797.174, 1797.202, 1797.204, 1797.220, and 1798.175 Health and Safety Code.

EMS Service Provider

"EMS Service Provider" means an organization employing certified EMT-I or licensed paramedic personnel for the delivery of emergency medical care to the sick and injured at the scene of an emergency, during transport, or during interfacility transfer.

NOTE: Authority cited: Sections 1797.107, 1797.174, and 1797.176 Health and Safety Code. Reference: Section 1797.174 Health and Safety Code.

D. GOALS OF THE QUALITY IMPROVEMENT PROCESS

- ◆ Ensure continued high quality emergency medical patient care.
- ◆ Develop and maintain method of EMS system evaluation focusing on improvement efforts.
- ◆ Educate management personnel regarding the importance of commitment and dedication to the quality improvement process.
- ◆ Develop management/leadership that creates an acceptance and belief in the quality improvement process.
- ◆ Develop management/leadership that demonstrates its dedication to the value of continuous quality improvement by providing high quality training and educational resources, as well as encouragement and support to personnel.

- ◆ Empower Peer Team Leaders who are dedicated and capable of motivating personnel to participate in the quality improvement process, demonstrating the importance of setting and obtaining goals for increasingly higher standards of patient care.
- ◆ Eliminate short-term improvements reached through fear driven inspections, quotas, or disciplinary reprimands. Transformation should be instilled through a process of education and self-improvement for everyone.
- ◆ Encourage personnel to participate in developing higher standards of patient care through a sense of pride, accountability, and self-improvement. Remove barriers that rob people from taking pride in improving.
- ◆ Facilitate improved communications between agencies providing prehospital care by promoting educational reviews, encouraging participation in peer review audits, and establishing methods for objective feedback.
- ◆ Create a system that is oriented to searching for opportunities to continuously improve, educate and resolve issues prospectively rather than retrospectively.
- ◆ Create a system that meets the educational needs specific to those of prehospital care providers, before the needs become apparent in in-patient care issues.

INLAND COUNTIES EMERGENCY MEDICAL AGENCY (ICEMA)

The ICEMA QI Program shall be in accordance with the Emergency Medical Services System Quality Improvement Program Model Guidelines (Rev. 3/04), and shall be approved by the EMS Authority. This is a model program which will develop over time and is to be tailored to the individual organization's quality improvement needs and is to be based on available resources for the EMS QI program.

A. Structure

ICEMA Quality Improvement staff will function with direction from the Medical Director and Executive Director.

ICEMA is responsible for implementing the regional quality/performance improvement plan, collecting data and evaluating the EMS system.

B. ICEMA Responsibilities

ICEMA shall be the central repository of regional EMS system information as it relates to EMS QI Program activities.

1. Review the system-wide EMS QI Program annually for appropriateness to the system and revise as needed.
2. Develop, in cooperation with appropriate personnel/agencies, a performance improvement action plan when the EMS QI Program identifies a need for improvement. If the area identified as needing improvement includes system clinical issues, collaboration is required with the ICEMA Medical Director.
3. Establish a mechanism to incorporate input from EMS provider advisory groups for the development of performance improvement plans
4. Provide the EMS Authority with an annual update, from date of approval and annually thereafter, on ICEMA's QI Program. The update shall include, but not be limited to, a summary of how ICEMA's QI Program addressed the program indicators.
5. Cooperate with the EMSA in carrying out the responsibilities of statewide EMS QI Program and participate in the EMSA Technical Advisory Group.
6. Cooperate with the EMSA in the development, approval, and implementation of state required EMS system indicators.
7. Cooperate with the EMSA in the development, approval, and implementation of state optional EMS system indicators.
8. Maintain responsibility for monitoring, collecting data on, reporting on, and evaluating state required and optional EMS System indicators from the EMS providers and hospitals within the jurisdiction of ICEMA.
9. Identify and develop regional EMS specific indicators for system evaluation, based on components listed in Appendix E of the State EMS Authority Quality Improvement Program Model Guidelines.
10. Re-evaluate, expand upon, and improve state EMS system indicators and locally developed indicators annually or as needed.
11. Facilitate meetings and presentations on LEMSA indicators and the development of performance improvement plans for review by designated EMS providers.
12. Assure reasonable availability of ICEMA QI Program training and in-service education for EMS personnel under the statewide EMS QI Program.
13. Provide technical assistance for facilitating the ICEMA QI Programs of all organizations participating in the ICEMA QI Program.

C. Annual Reports

ICEMA will publish annually a summary of activity and plan implementation for distribution.

D. Technical Advisory Group (TAG)

Structure:

The QI Technical Advisory Group (TAG) will function under the direction of the Medical Director and Executive Director. The members shall have education and experience in evaluation of EMS data system and EMS QI Program management. The members will participate in monitoring the process as it unfolds within the system. The TAG shall be multidisciplinary and include the following:

- ICEMA Medical Director
- ICEMA Representative(s)
- EMS Service Provider Medical Director (2)
- Base Hospital Medical Director (2)
- EMS Provider EMS QI Program Coordinator (2)*
- Paramedic Training Program Representative (2)*
- Base Hospital Nurse Coordinator (2)*
- Representatives from local receiving facilities emergency department physicians (2)*
- Representative from Basic Life Support (BLS) and Advanced Life Support (ALS)* certified/licensed personnel accredited within ICEMA (2)* (one public and one private provider representative)
- Public representative (1)*

*The Emergency Medical Care Committee in each of the member counties shall approve appointment of individuals following solicitation of interested individuals by ICEMA.

Term of Membership:

Members' terms shall be four (4) years expiring January 31st and subsequent new terms shall begin February 1st. The terms shall be staggered so that no more than two-thirds of the membership shall expire in any one-year period. A member whose term has expired shall continue to serve until a new appointment is confirmed. Members may be reappointed.

Attendance:

1. Members will notify ICEMA in advance of any scheduled meeting if they will be unable to attend.
2. Resignation from the TAG must be submitted, in writing, to ICEMA, and is effective upon receipt, unless otherwise specified.
3. At the discretion of ICEMA, other individuals may participate in the meetings where their expertise is essential to make appropriate determinations.
4. The absence of a committee member from two (2) consecutive meetings of the committee shall be cause for the Chairman to contact the committee member to discuss participation in the meetings. Whenever a committee member fails to attend two (2) consecutive meetings or three (3) total meetings in a calendar year, without good cause, the Chairman shall correspond with the region's EMCCs and recommend that the committee member be removed from the committee.

Chairperson:

The ICEMA Medical Director shall serve as chair of the TAG. The ICEMA Medical Director may appoint a Chairperson in his place if he is unable to attend any meeting.

Voting:

Due to the advisory nature of the TAG, many issues will require input rather than a vote process. Vote process issues will be identified as such by the Chairperson. When voting is required, a simple majority of the members present will constitute a quorum.

Meetings:

The TAG shall meet every two months or at a frequency as determined by the Chairperson, but never less frequently than quarterly.

Minutes:

Minutes will be kept by a clerical designee from ICEMA and distributed to the members at each meeting. The minutes will be prepared in two sections – one section providing non-confidential information; one section providing confidential information. Due the potential need for confidentiality, documents may be collected by the ICEMA staff at the close of each meeting and no copies may be made or processed by members of the committee without written consent from ICEMA.

Confidentiality:

All proceedings, documents and discussion of the TAG are confidential and are covered under Sections 1040, 1157.5, and 1157.7 of the Evidence Code of the State of California. The prohibition relating to the discovery of testimony provided to the TAG shall be applicable to all proceedings and records of this group, which is one established by a local government agency as a professional standards review organization which is organized in a manner which makes available professional competence to monitor, evaluate, and report on the necessity, quality, and level of specialty health services, including but not limited to prehospital care services. Guests may be invited to discuss specific issues in order to assist in making final determinations. Guests may only be present for the portion (s) of the meeting about which they have been requested to review or testify.

All members shall sign a confidentiality agreement not to divulge or discuss information that would have been obtained solely through TAG membership. Prior to the invited guests participating in the meeting, the Chairperson is responsible for explaining and obtaining a signed confidentiality agreement for invited guests.

PARAMEDIC BASE HOSPITAL REQUIREMENTS

The Base Hospital's EMS QI Program should involve all EMS system participants including but not limited to dispatch agencies, ALS and BLS EMS service providers, receiving hospitals, and specialty care centers.

A. Structure

The Base Hospital EMS QI Program shall be reviewed by ICEMA for compatibility with the State EMS QI Program guidelines. The organizational chart should reflect the integration of the EMS QI Program in the organization.

The following are requirements of Base Hospitals:

1. An EMS QI Team under the direction of the Base Hospital Medical Director. Lead staff should have expertise in management of the base hospital's EMS QI Program. The following staffing positions are identified (note: organizations with limited resources may combine positions):
 - Base Hospital Medical Director (or designee)
 - EMS QI Program Coordinator
 - Data Specialist

NOTE: Availability of resources can vary greatly between urban and rural facilities. It is understood that there are variances in staffing and staff responsibilities.

2. An internal EMS QI Program Technical Advisory Group with members, which include but are not limited to:
 - Base Hospital Medical Director
 - Prehospital Liaison or Equivalent
 - Base Hospital and EMS Service Provider Personnel (Physicians, RNs, Paramedics, EMTs)
3. Cooperation and interaction with all EMS system participants should include but not be limited to:
 - State EMSA
 - ICEMA
 - Other Base Hospital(s)
 - Receiving Facilities
 - Local Department of Health
 - Law Enforcement
 - PSAP(s)
 - Community Group(s)
 - Non-EMS Public Representative(s)
 - EMS Provider(s)
 - Educator(s)

B. Responsibilities

The Base Hospital EMS QI Team should be a primary source of EMS activity reporting for statewide and regional EMS system indicators. The Base Hospital EMS QI Program will perform the following functions:

- Cooperate with ICEMA in carrying out the responsibilities of the ICEMA QI Program and participate in the ICEMA Technical Advisory Group
- Cooperate with ICEMA the implementation of state required EMS system indicators
- Cooperate with ICEMA in monitoring, collecting data on, and evaluating state required and optional EMS system indicators

- Cooperate with the EMSA and ICEMA in the re-evaluation and improvement of state and local EMS system indicators
- Identify and develop base hospital indicators for system evaluation based on components listed in Appendix E
- Participate in meetings for internal review of base hospital indicators and development of performance improvement plans related to the findings
- Establish a mechanism to incorporate input from ICEMA, service providers, and other hospitals for the development of performance improvement plans
- Assure reasonable availability of EMS QI Program training and in-service education for base hospital personnel
- Prepare plans for expanding or improving the Base Hospital EMS QI Program
- Facilitate meetings and presentations of state and local EMS system indicators for peer review to local designated advisory groups and other authorized constituents
- Provide technical assistance to all EMS QI Programs in the base hospital's jurisdiction

C. Annual Updates

The Base Hospital EMS QI Team will annually publish summary reports of EMS QI Program activity with distribution to assigned prehospital providers and ICEMA.

EMERGENCY MEDICAL SERVICE PROVIDER REQUIREMENTS

The EMS Provider's EMS QI Program should involve EMS system participants including but not limited to dispatch agencies, ICEMA, EMS personnel training programs, hospitals, specialty care centers, and other EMS service providers. A regional approach, with collaboration between EMS service providers serving neighboring communities, is highly recommended.

A. Structure

The EMS Provider EMS QI Program shall be reviewed by ICEMA for compatibility with the EMSA EMS QI Program guidelines.

The organizational chart shall reflect the integration of the EMS QI Program in the organization. There should be:

1. An EMS QI Team under the direction of the EMS Provider medical director or EMS administrator. Lead staff should have expertise in management of the EMS provider's EMS QI Program. The following staffing positions are identified (organizations with limited resources may combine positions):
 - Provider Medical Director or designee having substantial experience in the practice of emergency medicine. A practicing ED physician or a physician practicing in emergency medical care is highly recommended
 - EMS QI Program Coordinator
 - Data Specialist

NOTE: Availability of resources can vary greatly between urban and rural agencies. It is understood that there are variances in staffing and staff responsibilities.

2. An internal EMS QI Program Technical Advisory Group with members, which include but are not limited to:
 - Provider Medical Director or designee having substantial experience in the practice of emergency medicine. A practicing ED physician or a physician practicing in emergency medical care is highly recommended
 - Chief/Administrator or designee
 - EMS QI Program Coordinator
 - Service Personnel (Physicians, RNs, Paramedics, EMTs)
 - Other system participants
3. Cooperation with all EMS participants should include but not limited to:
 - State EMSA
 - ICEMA
 - Other EMS Provider(s)
 - Base and Receiving Facilities
 - Local Department of Health
 - Law Enforcement
 - PSAP(s)
 - Community Group(s)
 - Non-EMS Public representative(s)
 - EMS Dispatch Center(s)
 - Educator (s)

B. Responsibilities

The EMS Provider's EMS QI Program Technical Advisory Group should be the primary source of EMS QI Program activity reporting for statewide and local EMS System information. The EMS Provider's EMS QI

Program Technical Advisory Group will perform the following functions:

- Cooperate with ICEMA in carrying out the responsibilities of ICEMA's QI Program and participate in ICEMA's Technical Advisory Group
- Cooperate with ICEMA in the implementation of state required EMS system indicators
- Cooperate with ICEMA in monitoring, collecting data on, and evaluating state required and optional EMS system indicators
- Cooperate with ICEMA in monitoring, collecting data on, and evaluating local/regional EMS system indicators
- Cooperate in the re-evaluation and improvement of state and local EMS system indicators
- Develop, monitor, collect data on, and evaluate indicators specific to the EMS provider as needed (See *Appendix E*)
- Conduct meetings for internal review of EMS provider information and development of performance improvement plans related to the findings
- Establish a mechanism to receive input from ICEMA, other service providers and other EMS system participants for the development of performance improvement plans
- Assure reasonable availability of EMS QI Program training and in-service education for EMS provider personnel
- Prepare plans for expanding or improving the EMS Provider EMS QI Program
- Participate in meetings and presentations of state and local EMS system information for peer review to local designated advisory groups and other authorized constituents

C. Annual Updates

The EMS Provider EMS QI Team will annually publish summary reports of EMS QI Program activity for distribution to ICEMA and other groups as determined.

DATA COLLECTION & REPORTING

A. Purpose

To improve the EMS system, information must first be collected, reported, and criteria established, and then evaluated. The following are guidelines for data collection and reporting of EMS information.

B. Data Collection

Aspects of care, which are identified as important, should be monitored despite the possible complexity of necessary data or challenges associated with the data collection. All reliable sources of information should be utilized in the evaluation of system performance. EMS organizations should also consider the use of hard copy review, collection check-sheets, customer surveys, direct observation, and skills simulation.

C. Approach to Data System Development

Information systems should be designed to answer EMS system performance questions. It is strongly recommended that EMS organizations establish a practical consensus and clear understanding with all users regarding the purpose for collecting and processing the data. This step is vital to assure validity and reliability.

The following activities are recommended prior to data systems development:

1. Identify the specific mission and purpose of the organization
2. Identify the most important services that support the mission and purpose
3. Identify the resources, activities, and results that comprise the services
4. Identify what information must be reported to others, such as ICEMA or the State EMSA
5. Identify specific questions (regarding the structures, activities, and outcomes within your organization), which need to be answered in order to better understand the success of the mission and purpose
6. Define how each question will be answered
7. Use the answers as the basis for developing indicators
8. Develop a quality indicator
9. Use the indicators as the basis for identifying what data is needed
10. Develop your technical plan for data collection based upon the elements identified
11. Test the process prior to investing in a data system
12. Recognize that an effective EMS QI Program is dynamic and therefore constantly changing, and incorporate this need for change into your data vendor contract (if applicable) and/or your data management plan

The California State EMS data set (with associated definitions) should be incorporated to allow for statewide data collection. Statewide EMS system indicators provide for comparative analysis between similar EMS providers/LEMSAs as well as statewide system evaluation. Additional data elements and code sets should be collected at a local level to focus on regional issues and concerns. The National EMS Information System (NEMSIS) data set (with associated definitions) may provide consistent data collection with these additional data elements.

D. Validity and Reliability

Validity - The data have validity if there is sufficient evidence to warrant the collection and use of the information for the purpose of measuring the performance of the EMS system. The information is valid if it is:

- Representative of important aspects of service performance
- Determined to be important for successful service performance
- Predictive of or significantly correlated with important elements of performance

Reliability – The data have reliability if the collection and interpretation methods can be trusted to be consistent

and predictable. If the data collection is always performed in the same way, using the same data collection tools and interpreted with the same definitions, the information is likely to be reliable. Standardized definitions or agreement by the users regarding what the data will indicate and how they will be collected is critical to the success of the overall program.

E. Organizational Reporting

Data collection, reporting, and analysis shall occur at each of the four organizational levels. Each level shall submit information to their respective advisory group. Data collection and reporting should be done in the form of summary reports and shall be based upon core EMS system indicators as adopted by the State EMSA, ICEMA, hospital, or individual EMS provider. Data collected specific to personnel shall only be exchanged between the personnel and provider levels. EMS information should be consistent in how it is organized, analyzed, presented and evaluated. See *Appendix D* for specific diagram showing the flow and exchange of information at all levels.

EVALUATION OF EMS SYSTEM INDICATORS

A. Organizational Structure

In order to provide a continuous evaluation of EMS services, it is recommended that the organizations establish technical advisory groups at each level (state, local, hospital, and provider). Each technical advisory group should be responsible for decision-making regarding evaluation and improvement and should be composed of stakeholders within the system under evaluation.

Organization of Information

EMS organizations shall develop indicators, which address but are not limited to the following (*Appendix E*):

- (1) Personnel
- (2) Equipment and Supplies
- (3) Documentation
- (4) Clinical Care and Patient Outcome
- (5) Skills Maintenance/Competency
- (6) Transportation/Facilities
- (7) Public Education and Prevention
- (8) Risk Management

The recommended approach to organizing data and other sources of information is through the development and use of standardized indicators.

B. Indicators Defined

An indicator is a quantitative/qualitative performance measure...a tool that can be used to monitor performance and direct attention to potential performance issues that may require more intensive review within an organization. In other words, an EMS indicator measures the degree of conformance to a reasonable expectation as defined by the community served. Indicators may be related to structures (people, places, things), processes (activities occurring in a system), and outcomes (the results of the structures and activities within a system).

Changes in structure may affect the process and the outcome. Likewise, changes in the process may affect the structure and outcome. Indicators, in short, are a way to simplify information so that data can be digested more efficiently and in a meaningful way.

C. Required EMS System Indicators

Statewide EMS system indicators as developed and adopted by the EMSA shall be incorporated to allow comparison within the state at all levels. These indicators are developed through a statewide consensus process and supported by the statewide data system.

An example of a required EMS system indicator detail sheet is found in *Appendix G*.

D. Optional EMS System Indicators

Recommended indicators are developed and designed on an as-needed basis and may be used for the long or short term or on an ad hoc basis depending on the goals of the group developing the indicators. While the state may develop some indicators, most development will occur at the local level. All EMS organizations are encouraged to develop their own indicators based upon their specific needs. Ad hoc indicators are not reported outside of the specific user group and level of organization. In order to assist EMS organizations with developing indicators, instructions on standardized indicator development can be found in *Appendix F*.

E. Analysis

Prior to presenting or distributing indicators, it is recommended that the results be analyzed to include measurements appropriate for rapid interpretation by evaluators. Measurements may include the following:

- Statistical
 - Measures of Central Tendency
 - Measures of Dispersion
- Process Analysis
 - Trending
 - Causation
 - Benchmarking
 - Best Practices
 - Published References

These measurements are defined and further illustrated in *Appendix K*.

F. Presentation

The results and measurements of indicators shall be presented to the users of the information in a formal process and on a regularly scheduled basis. Each presentation should include the purpose, objectives, references, benchmarks, measurements, and indicator detail sheet for clarification of data. The indicator information should be displayed to evaluators in a format that is most appropriate for the speed and ease of interpretation. The following are typical ways to display an indicator result:

- Flow Chart
- Fishbone – Cause and Effect Diagram
- Pareto Chart
- Histogram
- Scatter Diagram
- Run Chart
- Control Chart

Examples, definitions, and application of these display methods are illustrated in *Appendix L*.

G. Decision-Making Process

Each organizational level should have a structured process for making decisions. The following is a general outline of the steps in a structured process for evaluation and decision-making by the Technical Advisory Group:

1. Identify the evaluation criteria
2. Present indicators and related EMS information
3. Compare performance with goals or benchmarks
4. Discuss performance with peers/colleagues
5. Determine whether improvement or further evaluation is required
6. Establish plan based upon decision
7. Assign responsibility for post-decision action plan

ACTION TO IMPROVE

A. Approach to Performance Improvement

Once valid information has been presented and reliability evaluated, the decision to take action or to solve a problem requires a structured approach that is adaptable and applied to each situation as it is identified. There are many standardized and well-developed quality/performance improvement programs, which may be used during this phase. In all cases, each EMS QI Program Technical Advisory Group should choose an improvement method that is systematic and based upon evidence. The approach to improvement should also be team oriented and be done in a way that does not overwhelm the process due to size and complexity. Small wins are sometimes the basis for the larger wins. It is recommended that initial improvement projects be simple and based upon a strong consensus within the Technical Advisory Group that improvement will benefit all.

B. Technical Advisory Group (TAG)

The EMS QI Program at each organizational level shall have an oversight body that is responsible for implementing the quality/performance improvement plan. This group may be the same group that collects data from and evaluates the local system. The group should be responsible for delegating action to smaller groups (e.g., the Quality Task Force) and for monitoring the process as it unfolds within the system.

C. Quality Task Force

It is recommended that the Technical Advisory Group utilize smaller groups within the organizational level to carryout improvement action plans. Quality Task Forces are smaller sub-groups of the larger quality oversight body. Task forces are established to develop and implement action plans. Each task force has one project and is responsible for reporting all activities to the larger oversight group. Once the project is completed, the task force is disbanded. There may be more than one task force working concurrently, with each task force working on a specific action plan.

NOTE: Availability of resources can vary greatly between urban and rural agencies. It is understood that one task force may handle multiple projects or the Technical Advisory Group may handle the projects without forming any task forces.

D. Performance Improvement Plan

While there are many approaches to a Performance Improvement Plan within an organization, it is recommended that each Quality Task Force choose a standardized approach and use the same process each time a project is undertaken. The following are traditional components of a standardized improvement process:

- Establish criteria for measurement and evaluation
- Evaluate information
- Make a decision to take action to improve
- Establish criteria for improvement
- Establish an improvement plan
- Measure the results of the improvement plan
- Standardize or integrate change (plan) into the system
- Establish a plan for monitoring future activities

Attached in *Appendix H, I, and J* are examples of quality improvement models.

TRAINING AND EDUCATION

A. Introduction

Effectiveness of the EMS QI Program and related training is directly proportional to the energy and resources committed. Administrative oversight shall be available and directly involved in the process. When clinical issues are addressed, medical oversight is required.

B. Action to Improve Process Is Intertwined With Training and Education

Once the decision to take action or to solve a problem has occurred, training and education are critical components that need to be addressed. As a Performance Improvement Plan is developed, the Technical Advisory Group will establish criteria for measurement and evaluation. Based on these criteria, delivery methods and content of training will be developed. This integrated process will avoid any misdirection that may occur when training is isolated from the EMS QI Program. Success of the performance improvement plan is dependent upon changing the behavior and knowledge of the staff who deliver care to patients or services to other participants (e.g., EMSA to LEMSA, LEMSA to EMS provider) in the EMS system. To implement change, you must deliver verifiable, ongoing training that is appropriate to the skill level and service goals of the organization.

C. Medical Direction

To successfully implement a Performance Improvement Plan, the organization's EMS QI Program team shall have input into the content and delivery methods of related training and education. This involvement will provide consistency between the current and subsequent Performance Improvement Plans. The structure of the organization shall place the oversight for directing clinical training and education with the Medical Director.

D. Measure the Results of the Performance Improvement Plan

Once the Performance Improvement Plan has been implemented, the measurement of a successful outcome will be dependent upon the validity of the plan and the effectiveness of the training and education. If the outcome is not satisfactory, it is necessary to examine both the content of the Plan and delivery method of related training and education.

E. Integrate Change

Once the Performance Improvement Plan has been successfully implemented, the organization needs to standardize the changes within appropriate policies and procedures. When appropriate, assure that staff have successfully completed the training and educational components of the plan. The final steps of integrating change into the system will be to schedule continuing education at appropriate reoccurring intervals and re-evaluate the original EMS system indicators.

F. Annual Update Guidelines

The Annual Update is a written account of the progress of an organization's activities as stated in the EMS QI Program. In compiling the Annual Update, refer to the previous year's update and work plan.

Description of agency

The description should include an organizational chart showing how the EMS QI Program is integrated into the organization.

Statement of EMS QI Program goals and objectives

Describe processes used in conducting quality improvement activities.
Were goals and objectives met?

List and define indicators utilized during the reporting year

- Define state and local indicators
- Define provider specific indicators
- Define methods to retrieve data from receiving hospitals regarding patient diagnoses and disposition
- Audit critical skills
- Identify issues for further system consideration
- Identify trending issues
- Create improvement action plans (what was done and what needs to be done)
- Describe issues that were resolved
- List opportunities for improvement and plans for next review cycle
- Describe continuing education and skill training provided as a result of Performance Improvement Plans
- Describe any revision of in-house policies
- Report to constituent groups
- Describe next year's work plan based on the results of the reporting year's indicator review

Sample Work Plan Template

| Indicators Monitored | Key Findings/Priority Issues Identified | Improvement Action Plan Plans for Further Action | Were Goals Met? Is Follow-Up Needed? |
|----------------------|---|--|--------------------------------------|
| | | | |
| | | | |

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Appendices

APPENDIX A

Self-Assessment and Action Planning

The Organizational Profile: A Starting Point for Self-Assessment

The Organizations Profile is a snapshot of your organization, the key influences on how you operate, and the key challenges you face. The first section, Organization Description, addresses your organization's health care environment and your key relationships with patients, customers, suppliers, and other partners. The second section, Organizational Challenges, calls for a description of your organization's competitive environment, your key strategic challenges, and your system for performance improvement. If possible, each answer should have a summary response.

For each component, the following questions should be asked:

- *Where am I now?*
- *Where do I want to be?*
- *How do I get there?*

Organizational Description

Organizational Environment

1. Organization's main health care services
2. Delivery of services to patients
3. Organizational context/culture, including purpose, vision, mission, and values, as appropriate
4. Staff profile, including educational levels, workforce and job diversity, bargaining units, use of contract and privileged staff, and special safety requirements, as appropriate
5. Major technologies, equipment, and facilities
6. Legal/regulatory environment under which your organization operates, including occupational health and safety regulations, accreditation requirements, and environmental and financial regulations relevant to health care service delivery

Organizational Relationships

1. Key patient/customer groups and/or market segments
2. Key requirements for your health care services, including how these requirements differ among patients and other customer groups and/or market segments, as appropriate
3. Important types of suppliers and partners
4. Important supply chain requirements
5. Key supplier and partnering relationships and communication mechanisms

Organizational Challenges

Competitive Environment

1. Competitive position
2. Relative size and growth in the health care industry
3. Type of competitors and key collaborators
4. Principal factors that determine your success relative to your competitors and other organizations delivering similar services
5. Changes taking place that affect your competitive situation and/or opportunities for collaborating

Strategic Challenges

1. Key strategic challenges, including operational, human resource, and business challenges, as appropriate

Performance Improvement System

1. Organizational focus on performance improvement
2. Approaches used to systematically evaluate and improve key processes and to foster organizational learning and knowledge sharing

APPENDIX B

2001 Health Care Criteria for Performance Excellence

Baldrige National Quality Program Core Values and Concepts

➤ **Leadership**

Leadership is responsible for the creation of strategies, systems, and methods for achieving excellence in health care, stimulating innovation, and building knowledge and capabilities.

➤ **Patient Focused**

Quality and performance are the key components in determining patient satisfaction. A patient's relationship with the provider of care, ancillary staff, cost, responsiveness, continuing care and attention factor into a patient's satisfaction.

The delivery of patient care must be system focused and by its nature will then become patient oriented. In the context of EMS system performance, this would include the patient's perception of the continuum of care from the prehospital to the hospital. A smooth transition of care will instill confidence in the patient and their family members.

➤ **Organizational and Personal Learning**

Organizational learning refers to continuous improvement of existing approaches and processes and adaptation to change, leading to new goals and/or approaches.

Personal learning through education, training, and opportunities of continuing growth allows individuals to adjust to a changing health care environment and enhance knowledge of measurement systems that influence the outcomes of assessments and patient care and operational guidelines. Learning is directed not only toward better EMS delivery, but also toward being more responsive, adaptive and efficient.

➤ **Staff and Partners**

An organization should be committed to their staff's satisfaction, development and well-being. Partners should include stakeholders – patients, prehospital and hospital providers, and regulatory agencies.

➤ **Flexibility**

Faster and more flexible response to patients and other EMS partners is necessary in today's fast moving health care environment.

➤ **Planning for the Future**

An organization should anticipate changes in EMS health care delivery, resource availability, patient expectations, technological developments, and evolving regulatory requirements.

➤ **Managing for Innovation**

Organizations should be structured in such a way that innovation becomes part of the culture and daily work.

➤ **Management by Evidence**

An effective EMS system depends upon the measurement and analysis of performance. Critical data and information about key processes, outputs and results are a key component. Performance measurement areas may include patient outcomes, patient care and operational guidelines, staff, cost, and customer satisfaction and others. Analysis of data along with knowledge and input from experts supports decision-making and operational improvement.

Data and its analysis support the review of overall field performance, improving outcomes, improving operations and comparing process with similar organizations, with performance "best practice" benchmarks or comparison of the agency performance with itself and its own past practice. A major consideration in performance improvement involves the selection and use of performance measures or indicators.

➤ **Public Responsibility and Community Health**

EMS system participants should not only meet all federal, state, local laws and regulations and local requirements, they should treat all of these and related requirements as opportunities for continuous improvement beyond mere compliance.

The EMS system has a responsibility to the public it serves to foster improved community health. Basic expectations of an organization include ethical practice and protection of public health, safety, and the environment. EMS system participants should share in efforts in community wellness and injury prevention.

➤ **Focus on Results**

Performance measurements need to focus on key results that create the value of EMS to the community served.

➤ **Systems Perspective**

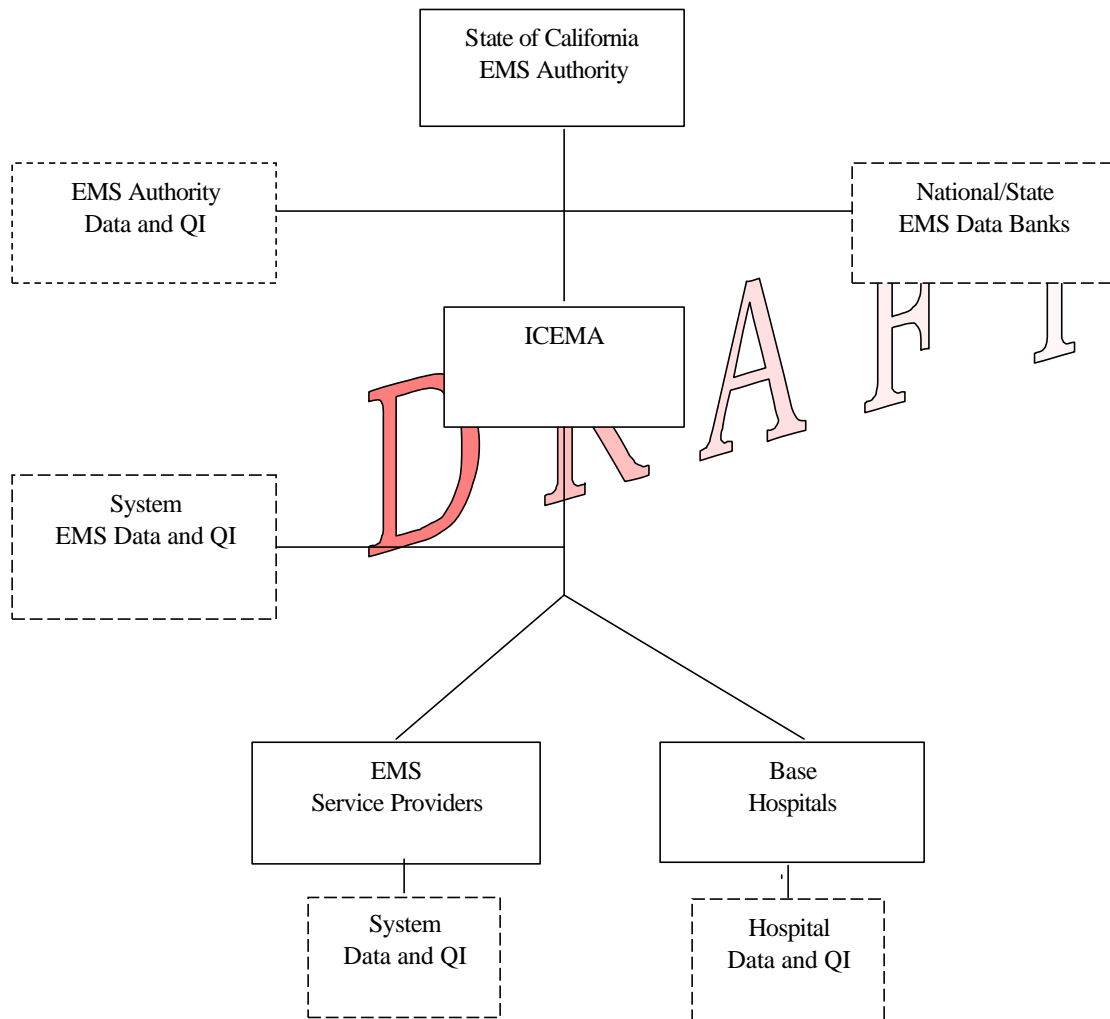
Focus on what is important to the whole system, as well as its components to achieve performance improvement. Monitor, respond to, and build on performance results. Plans should seek to prevent problems, provide a forthright response if problems occur, and make available information and support needed to maintain public awareness, safety and confidence.

There must be a continued investment to produce a high quality product. It is not enough to develop new programs and techniques of measurement and control. The stakeholders must commit to a course of constant evaluation and improvement that is non-punitive, valued, and continuous.

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APPENDIX C

Organizational Structure



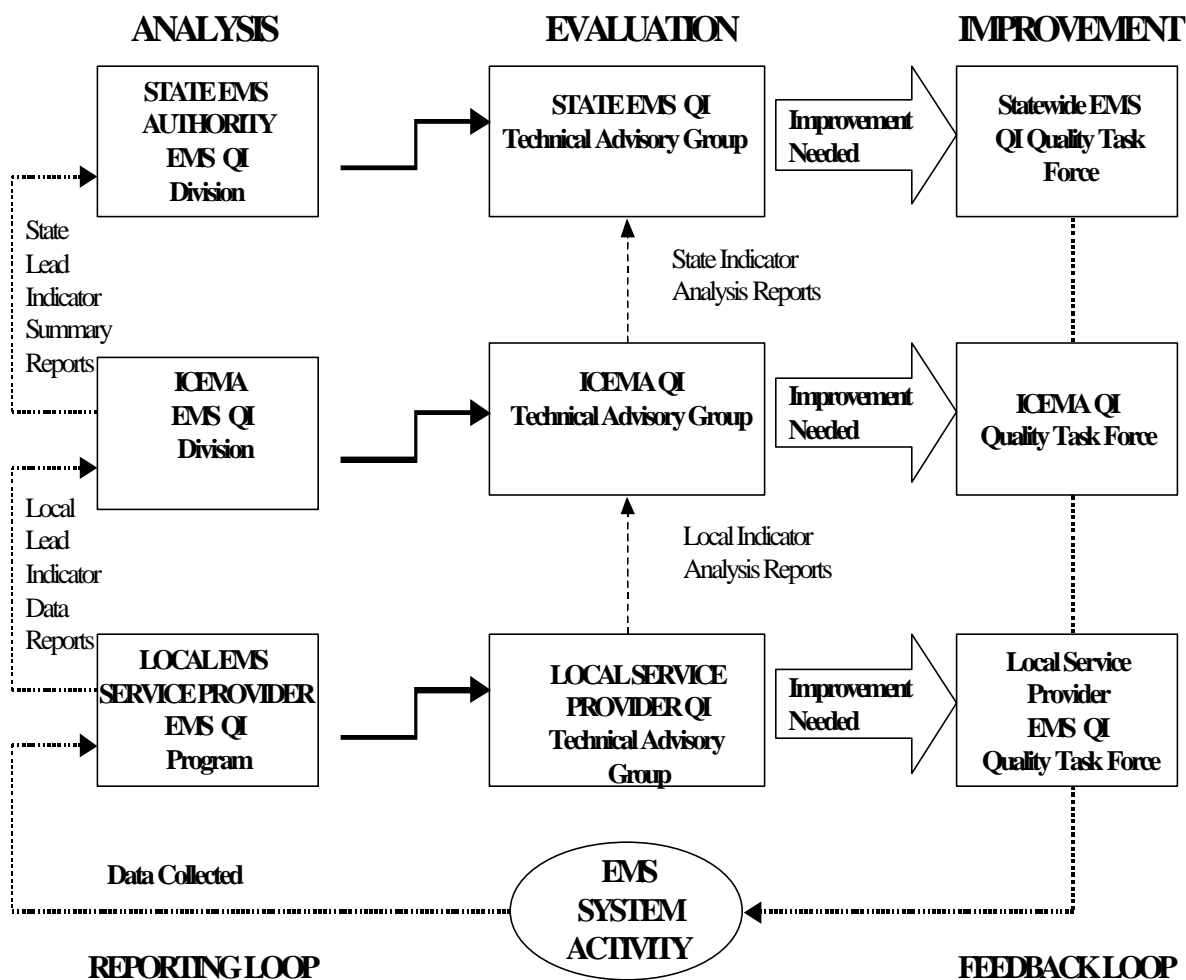
Other EMS system participants include, but are not limited to: Training Agencies, Dispatch Agencies, Receiving Facilities and Specialty Care Centers

APPENDIX D

Flow of Information and Activity

This diagram illustrates the organizational structure for analysis, evaluation, and improvement and demonstrates the fundamental interconnectedness of these critical components. Comprehensive evaluation lays the foundation upon which improvement will occur.

Organizational Structure Flow of Information & Activity



APPENDIX E

This chart provides suggested indicators for each Indicator category per organizational structure.

Use of these indicators is not mandatory.

- Assumptions:
1. California EMS Information System (CEMSIS) will provide statewide data
 2. Proper documentation is necessary for all indicator review

Note: Indicator details located in Appendix M

| INDICATOR | EMS AUTHORITY | ICEMA | PROVIDER | HOSPITAL |
|-----------------------------------|---|--|--|--|
| Personnel | LICENSURE ED1 Education and Training Indicator A - H | CERTIFICATION ACCREDITATION ED1 Education and Training Indicator A - D, G, H | WELLNESS WORKLOAD ED1 Education and Training Indicator A, B (if provider has EMT-Training school) | WELLNESS WORKLOAD BH1 Base Hospitals-Activity Indicator B - D |
| Equipment and Supplies | | COMMUNICATIONS COVERAGE | PREVENTIVE MAINTENANCE PLANS PHARMACEUTICALS | INVENTORY CONTROL |
| Documentation | STANDARDIZED EMS DATA | DATA VALIDATION | DATA VALIDATION NARCOTIC RECORDS | TIMELINESS ACCURACY OUTCOME REPORTING |
| Clinical Care and Patient Outcome | SCOPE OF PRACTICE COMMITTEE STRUCTURE RESEARCH CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A, B CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A, B | TREATMENT PROTOCOLS COMMITTEE STRUCTURE MEDICAL OVERSIGHT RESEARCH CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A - N CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A - N CA3 Chest Pain-Suspected Cardiac Origin Indicator A - J MA1 ALS Staffing Levels Indicator A - D RE1 Shortness of Breath Bronchospasm Indicator A - G RE2 Shortness of Breath Fluid Overload Indicator A - K | TREATMENT PROTOCOLS COMMITTEE STRUCTURE MEDICAL OVERSIGHT RESEARCH CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A - N CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A - N CA3 Chest Pain-Suspected Cardiac Origin Indicator A - J RE1 Shortness of Breath Bronchospasm Indicator A - G RE2 Shortness of Breath Fluid Overload Indicator A - K | TREATMENT PROTOCOLS RESEARCH CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A, B, N CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A, B, N CA3 Chest Pain-Suspected Cardiac Origin Indicator J RE1 Shortness of Breath Bronchospasm Indicator G RE2 Shortness of Breath Fluid Overload Indicator K |

| INDICATOR | EMS AUTHORITY | ICEMA | PROVIDER | HOSPITAL |
|-------------------------------|--|--|---|--|
| Skills Maintenance/Competency | SCOPE OF PRACTICE | SCOPE OF PRACTICE SKILLS UTILIZATION BENCHMARKING SK1 Skills-Advanced Provider Indicator A - J | SCOPE OF PRACTICE SKILLS UTILIZATION INFREQUENT SKILLS REVIEW SUCCESS RATES (BENCHMARKING) SK1 Skills-Advanced Provider Indicator A - J | SCOPE OF PRACTICE SKILLS UTILIZATION INFREQUENT SKILLS REVIEW SUCCESS RATES |
| Transportation/ Facilities | RF1 Receiving Facilities Utilization Indicator A, B, C, E TH1 Trauma Hospitals Indicator A, B TRI Critical Trauma Indicator A – G, K, N, O | HOSPITAL DIVERSION SPECIALTY CARE CENTERS- DESTINATION BH1 Base Hospital Activity Indicator A RF1 Receiving Facilities Utilization Indicator A, B, C, E RS1 Response Indicator A - C TH1 Trauma Hospitals Indicator A, B TR1 Critical Trauma Indicator A - G, H, J, K, L, N, O | RESPONSE TIMES WAIT TIMES SPECIALTY CARE CENTERS- DESTINATION AC2 Access & Utilization PSAP (if able to collaborate w/PSAP) Indicator A - D AC3 Access & Utilization EMS Dispatch Center (if able to collaborate w/PSAP) Indicator A - D RF1 Receiving Facilities Utilization Indicator A, B, C, E RS1 Response Indicator A - C TR1 Critical Trauma Indicator A – G, I – O | BH1 Base Hospital Activity Indicator B, C, D RF1 Receiving Facilities Utilization Indicator A - E TH1 Trauma Hospitals Indicator A, B (if Trauma Center) TR1 Critical Trauma Indicator A – G, L - O |

| INDICATOR | EMS AUTHORITY | ICEMA | PROVIDER | HOSPITAL |
|---------------------------------|--|--|---|---|
| Public Education and Prevention | COMMUNITY INVOLVEMENT PREVENTION PROGRAMS PATIENT EDUCATION CUSTOMER SATISFACTION CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A, B CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A, B PP1 Public Education and Prevention Indicator A, B | COMMUNITY INVOLVEMENT REWARD AND RECOGNITION PREVENTION PROGRAMS PATIENT EDUCATION CUSTOMER SATISFACTION CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A, B CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A, B PP1 Public Education and Prevention Indicator A, B | COMMUNITY INVOLVEMENT REWARD AND RECOGNITION PREVENTION PROGRAMS PATIENT EDUCATION CUSTOMER SATISFACTION CA1A Pulseless V-Fib/VTach Unwitnessed Indicator A, B CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A, B PP1 Public Education and Prevention Indicator A, B | PREVENTION PROGRAMS PATIENT EDUCATION CUSTOMER SATISFACTION CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A, B CA2 Pulseless V-Fib/V-Tach Witnessed Indicator A, B PP1 Public Education and Prevention Indicator A, B |
| Risk Management | ISSUE RESOLUTION PROCESS SYSTEM MONITORING | ISSUE RESOLUTION PROCESS SYSTEM MONITORING CA1 Pulseless V-Fib/VTach Unwitnessed Indicator A, B MA1 ALS Staffing Levels Indicator A – D | ISSUE RESOLUTION PROCESS OSHA COMPLIANCE POST-INCIDENT PEER REVIEW PERSONNEL SAFETY SYSTEM MONITORING MA1 ALS Staffing Levels Indicator A - D RS1 Response Indicator A - C SK1 Skills – Advanced Provider Indicator A - J | OSHA COMPLIANCE POST-INCIDENT PEER REVIEW PERSONAL SAFETY SYSTEM MONITORING |

APPENDIX F

Development of Standardized EMS Indicators

THE PROCESS

The primary QI tool is the standardized EMS quality indicator. Methods for developing and using the indicators to measure EMS system performance are described in the following steps.

STEP 1. Asking the Questions

- Gather all stakeholders together and begin by brainstorming questions about the system that the group would like answered. Clearly state the purpose of the brainstorming session. Take a turn, in sequence, around the entire group. Do not criticize or discuss any ideas. Record each question carefully. Distributing a survey can also facilitate this step.
- Clarify the brainstorming questions and make sure everyone understands all the items. Categorize the questions based upon related subject matter and/or discipline
- Prioritize or rank the questions based upon the level of importance to stakeholders or customers.
- If possible, narrow the list of questions by eliminating any duplication or questions which may be too complex or off limits i.e.; finances, working conditions, etc.

Below is an example of EMS system questions generated by stakeholders.

- How many undetected esophageal intubations occur in our system per year?
- Per patient caseload?
- Per endotracheal intubation attempt?
- How well do we measure up to the national cardiac arrest survival rates?
- Statewide? Countywide?

STEP 2. Defining the Answer

Begin by clearly stating the question to be answered. Stratify the question (break down) into steps identifying the structures (who, what, where) and the activities (how), which lead to the outcome, that will be measured.

Note: stratification may lead to several smaller measurements; i.e. structures and processes, which affect the outcome indicator which answers the questions more fully. The smaller indicators may be relevant and should be developed individually, but meanwhile keep focused on the big picture (question to be answered).

Further stratification can be accomplished by utilizing a process flow chart to identify how, when, or where an existing structure or process occurs.

STEP 3. Develop the Quality Indicator

Standardize how the information will be gathered, and define the following:

- Population (inclusion criteria)
- Data numerator
- Data denominator
- Reporting formula
- Reported item

Example

- Inclusion Criteria - patients 15 years or older defibrillated by prehospital personnel
- Numerator - total patients discharged alive from the hospital after prehospital defibrillation
- Denominator - total number of prehospital patients defibrillated
- Formula - total patients discharged/total patients defibrillated x 100 = % survival

STEP 4: Collecting the Indicator Information

- Work with technical personnel to design systems which can capture the data
- Develop the easiest and most efficient technology design
- Educate all personnel who will be collecting data on the technology and the indicator
- Issue the “step by step - how to memo” information in writing and explain the indicator process
- Provide resource & contact point for FAQ’s and troubleshooting

STEP 5: Organizing the Data into Indicator Format

- Assure all data submissions have been received and checked
- Identify and segregate data by indicator or sub-indicators
- Reference the indicator to identify details such as denominators and numerators
- Perform tests as defined previously by the indicator
- Summarize and organize all results
- Prepare data for presentation
- Perform appropriate tests and measurements of central tendencies
- If appropriate aggregate and blind the data
- Select and prepare appropriate presentation format (Charts, graphs, checksheet, diagram)
- Select appropriate presentation medium (slide, overhead, document)

STEP 6: Present and Evaluate the Answer

This step also gives participants the opportunity to evaluate the credibility of the data and the meaning of the results. It is very important that all participants do their homework by reviewing the preliminary results prior to the presentation. Participants should receive preliminary reports well ahead of the scheduled evaluation meeting date. It is at this step in the process where participants will have to make a collective decision; the decision whether to act or not to act on the answer.

- Present data
 1. Select appropriate setting for presentation (face-to-face meeting, teleconference, Web conference)
 2. Schedule presentation date and time
 3. Assure all participants can attend presentation
 4. Issue report, date, time and location of report presentation
 5. Present report to consortium with project Question-Answer statement from Steps 1&2
 6. Present report to consortium with project indicators/measurements
 7. Present relevant list of benchmarks from past projects & published studies
 8. Identify any data collection or analysis problems
 9. Provide consultation regarding data indicators during meeting
 10. Assure that all participants have had an opportunity to review the results prior to presentation.
 11. Assure that all pertinent information has been presented
- Evaluate Data
 1. Assure that all participants understand the presented information
 2. Does the data suffice to answer the proposed questions?
 3. Is the data presented in a format that is understood?
 4. Is the data and results reasonably believable?
 5. Is the answer (data) within an acceptable performance range?
 6. Does the answer (data) demonstrate that action to improve performance is warranted?

STEP 7: Acting to Improve the Answer

- Assure all participants have opportunity for input
- Review results and evaluation process
- Identify reasons for improvement
- Identify and verify root causes or need for improvement
- Brainstorm potential performance improvement-action plans
- Reduce & select performance improvement-action plan
- Decide whether performance improvement-action plan is short term (60 days-rapid cycle model) or longer (over 60 days-traditional model)
- Create a performance improvement - action plan with specific steps identifying who, what, how and when they will occur.
- Elect a performance improvement project leader
- Schedule performance improvement plan
- Implement performance improvement plan with appropriate schedule

STEP 8: Checking for Improvement

- Set a target date for re-evaluation
- Repeat steps 1-8
- Obtain consensus from participants for sharing results
- Identify Authors and associated participants
- Draft final publication document
- Resubmit to group for final approval
- Publish document for peer review if desired

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APPENDIX G

Indicator Detail Sheet

| | |
|---|--|
| INDEX #CA1 CORE INDICATOR | CARDIAC - ADULT TREATMENT PROTOCOL UTILIZATION |
| Index CA1M Sub Indicator | % SURVIVAL TO HOSPITAL DISCHARGE PULSELESS V-FIB V-TACH WITNESSED |
| Objective of Indicator | to measure % of patients who survive to hospital discharge after suffering a pulseless ventricular fibrillation (VF) or ventricular tachycardia (VT) event which is witnessed and treated by EMS personnel |
| Type of Measure | Outcome |
| Min Data Values | n= 30 values per measure |
| Numerator Description | number of adult patients discharged alive from hospital over a specified time period |
| Numerator Inclusion Criteria | patient has reached age 15 patient has suffered a VF or VT event patient was pulseless patient VF & VT event was witnessed by EMS personnel patient has survived to hospital discharge |
| Numerator Exclusion Criteria | non-cardiac etiologies, cardiac etiologies where resuscitation was not attempted by EMS personnel event not witnessed by EMS personnel patients not admitted to hospital patients not discharged from hospital alive |
| Numerator Data Source | hospital discharge records |
| Numerator Data Elements | discharge status |
| Linkage Criteria | name, DOB, age, gender, admit date, prehospital procedures; i.e. defibrillation, |
| Denominator Description | number of patients who have prehospital resuscitation attempted |
| Denominator Inclusion Criteria | patients who have reached age 15 and suffer pulseless VF or VT witnessed by EMS personnel |
| Denominator Exclusion Criteria | non-cardiac etiologies, cardiac etiologies where prehospital resuscitation was not attempted |
| Denominator Data Source | EMS Medical Records |
| Denominator Data Elements | Provider Impression EKG Procedures – CPR Procedures – Defibrillation |
| Linkage Criteria | PCR number, Last name, DOB |
| Description of Indicator Formula | numerator value (N) divided by denominator value (D) multiplied by 100 equals percentage (%) |
| Numeric Expression Indicator Formula | <input type="checkbox"/> $N/D = \%$ |
| Indicator Unit | <input type="checkbox"/> % Percentage |
| Reporting Format: Numeric | <input type="checkbox"/> % |
| Suggested Presentation Format | <input type="checkbox"/> Bar Chart <input type="checkbox"/> Line Graph |
| Suggested Frequency Display of Measure | <input type="checkbox"/> Monthly x 16 Months |
| Sampling | <input type="checkbox"/> Periodic-Rate |
| Aggregation | <input type="checkbox"/> Yes |
| Blinded | <input type="checkbox"/> Yes |
| Beta Testing | <input type="checkbox"/> None to Date |

| | |
|-------------------------------------|--|
| Stratification | <input type="checkbox"/> by age, sex, scene, response times, scene times number of defibrillations, provider level |
| Measures of Central Tendency | <input type="checkbox"/> mean - Yes <input type="checkbox"/> mode - No <input type="checkbox"/> variance - No <input type="checkbox"/> standard deviation - No |
| Special Causation | <input type="checkbox"/> NA |
| Risk Adjustment | <input type="checkbox"/> NA |
| Presentation Format | <input type="checkbox"/> Bar Chart <input type="checkbox"/> Line Graph |
| Frequency Display of Measure | <input type="checkbox"/> Monthly x 18 Mos |
| Classification | <input type="checkbox"/> Medical Care - Clinical |
| References | <input type="checkbox"/> Utstein Model; Pitt, Penn Kass LE. One Year Survival after Prehospital Cardiac Arrest: The Utstein Model applied to Rural-Suburban EMS System. Ann of Emerg Med; 12:17-20, 1994 <input type="checkbox"/> Wisconsin study; Olson DW, MD. EMT-Defibrillation: The Wisconsin Experience. Ann of Emerg Med, 18:8;806. 1989 <input type="checkbox"/> Seattle Washington; Weaver DW, MD. Considerations for Improving Survival from Out of Hospital Cardiac Arrest. Ann of Emerg Med 15:10;1181, 1986. Ontario, Canada; Brison RJ. Cardiac Arrest in Ontario; Can Med Assoc J; 191-199, 1992 |
| Source | <input type="checkbox"/> California EMSA Vision Project |

APPENDIX H

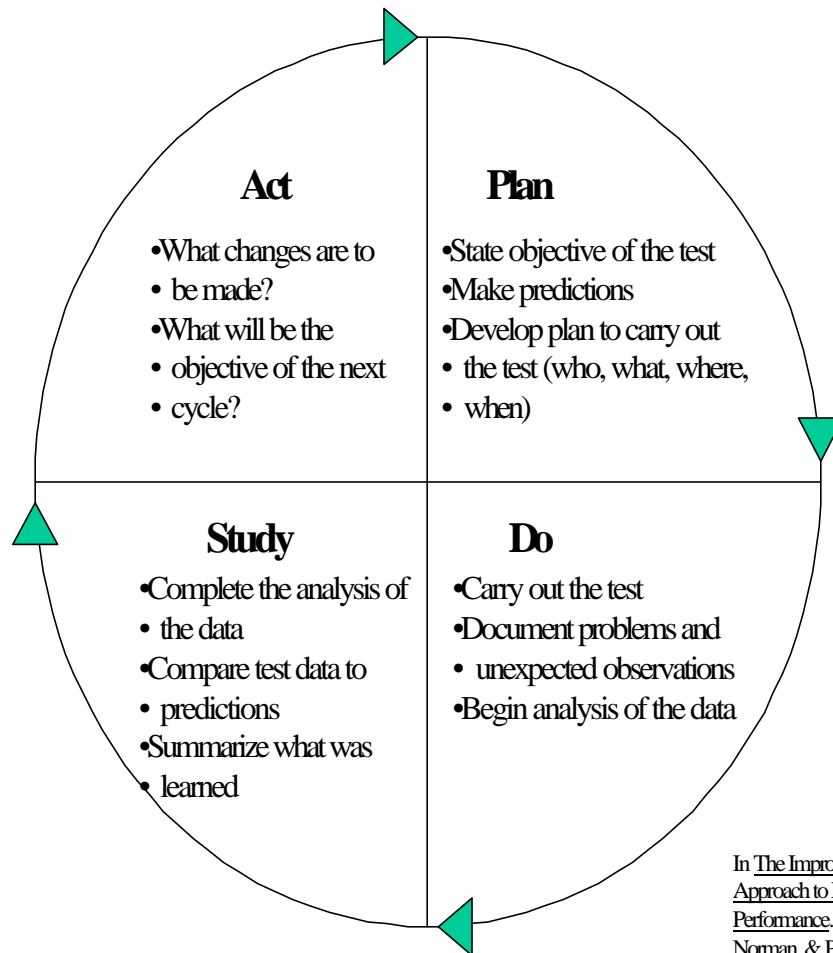
Models

System evaluation and improvement models have been developed to guide systems in developing programs. The following table summarizes some of the classic, time-tested methods:

| FOCUS-PDSA | PRIDE | FADE | JOINER |
|---|--|-------------------------|---|
| F- Find a process to improve | P – Process – Choose one to improve | F – Focus on a problem | Understand the process |
| O – Organize a team that knows the process | | | Describe the process; Identify the customer needs and concerns |
| C – Clarify current knowledge of the process (collect data and other information) | R – Relevant dimensions of performance measurements (collect data) | A – Analyze the problem | Develop a standard process; Eliminate errors; Remove the slack; Reduce variation; Plan for continuous improvement |
| U – Understand causes of process variation | I – Interpret data and evaluate variance | | |
| S – Select process improvement | D – Design or redesign the process | D – Develop a plan | Plan-Do-Study-Act |
| P – Plan D – Do S – Study A – Act | E – Execute the improvement plan and validate any improvement by remeasuring | E – Execute the plan | |
| Table Source: Keill, P., Johnson, T., “Optimizing Performance Through Process Improvement”, <i>Journal of Nursing Care Quality</i> , 1994;9(1);1-9 Aspen Publishers, Inc. | | | |

APPENDIX I PDSA

The Plan-Do-Study-Act Cycle (PDSA)

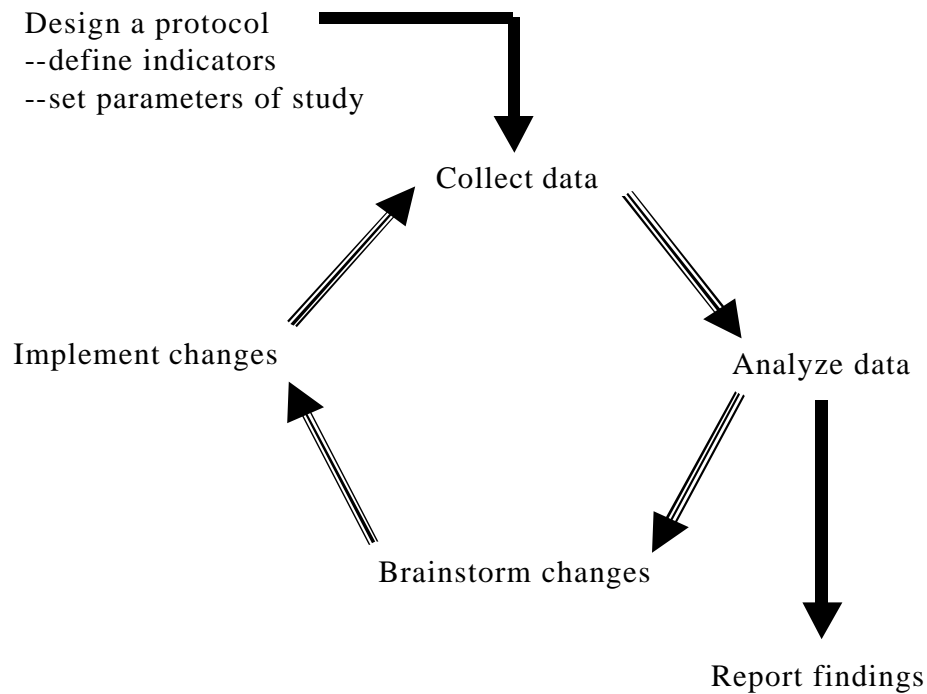


APPENDIX J

Rapid Cycle Improvement

One approach to EMS-QI is Rapid Cycle Improvement (RCI), which is based on Deming's traditional "Plan-Do-Study-Act" model (*Appendix I*). While EMS-QI emphasizes incremental changes over time, RCI accelerates the process by employing shorter change cycles. RCI is a practical and real-time approach to enhancing performance in diverse organizations. It is an especially valuable tool in making improvements in large or complex systems.

Rapid Cycle Improvement Within the EMS System



APPENDIX K

Definitions of Common Data Measurements

I. Statistical

A. Measures of Central Tendency: These are data measurements which show how the data is the same or; where most of the people, places or things tend to score or behave. These measurements are in the middle of what has often been called the “bell shaped curve”.

1. **Mean** (average) – The sum of all measured, or counted, data divided by the total number of data points; for example in the below data, if all the data values added together equal = 223 is divided by the total number of data points which are 15, then the product would be 14.8. Thus, the mean value of the data is 14.8.
2. **Mode** – the value repeated most often in raw data. For example in the data below the value 12 is repeated the most. Thus the mode value is 12.
3. **Median** – the middle of all the measured or counted data points. For example, in the data below, all values are placed in numerical order and then the middle value is found by dividing by 2 and counting the ordered values until the middle value is identified. Thus, 12 is the middle of the ranked data points.

sample data: 8, 8, 9, 10, 10, 12, 12, 12, 14, 16, 16, 18, 20, 28, 30

B. Measures of Dispersion: These are data measurements which show how the data is different or; where most of the people, places or things are different. These measurements are on the outside of what has often been called the “bell shaped curve”.

1. **Range** – the maximum value minus the minimum data value.
2. **Standard Deviation** – A measurement which shows how widely spread (dispersed) any set of data is from the mean (average) of an entire data distribution. The standard deviation takes into account all the data points.

II. Process Analysis

A. Process Analysis: evaluation of data by using graphic representations of activities which show trends and variations over time. The following are common activities used to help evaluate an activity:

1. **Trending** - the process of showing by plot or process control chart, the upward, downward or level movement of an activity over a specified period of time.
2. **Causation** - the results of tests which are applied to a set of data points plotted on a process control chart. The tests determine whether or not a “special cause” exists within the data set and can explain unusual character or nature of undesirable results.
3. **Benchmarking** - using known results of similar data measurements or tests as an impetus for achieving or surpassing a desired goal for improvement.
4. **Best Practices** - using the best known results of similar data measurements of similar tests for similar systems or operations as an impetus for achieving or surpassing a desired goal for improvement.
5. **Published Referencing** - using published results of similar data measurements or tests as beginning or starting reference point for achieving or surpassing a desired goal for improvement.

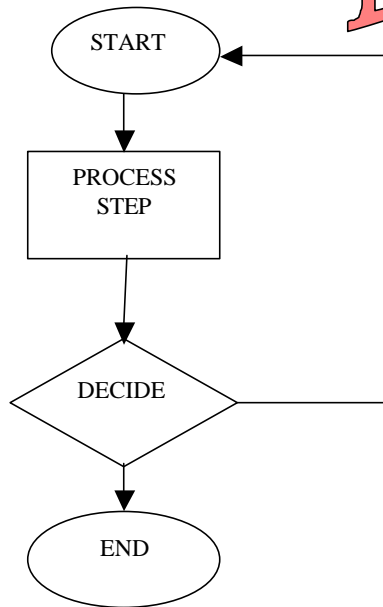
APPENDIX L

Presentation of EMS System Indicators

Basic Graphic Tools

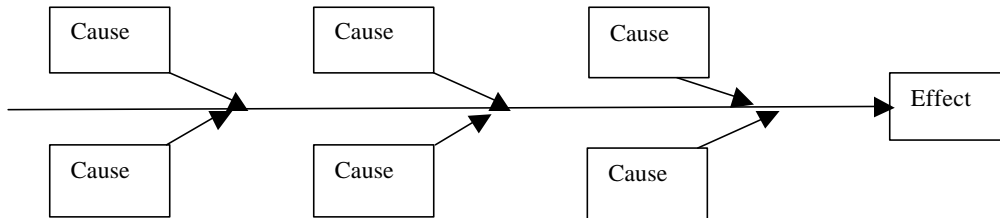
FLOW CHART – Flow charts graphically show the order of events in a process. They can be used to understand current processes or develop new ones. Flow charts help to visualize:

- Operations
- Process steps in order of occurrence
- Space, time and people requirements
- Delays, clogs, and “black holes”
- Decision locations
- Feedback loops
- Redundancy or rework
- People and work relationships
- Obscure details of a procedure
- Complexity of a process



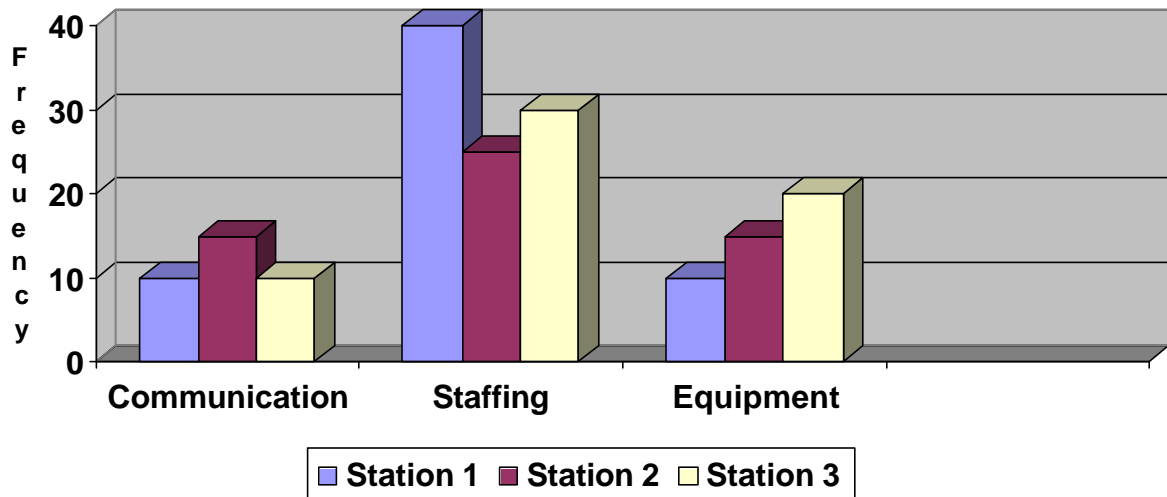
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FISHBONE – A Cause and Effect (Fishbone) Diagram is used to brainstorm possible causes. It can reveal hidden process elements which are sometimes overlooked and can help to identify critical elements, problem areas, sources contributing to a defect, etc.



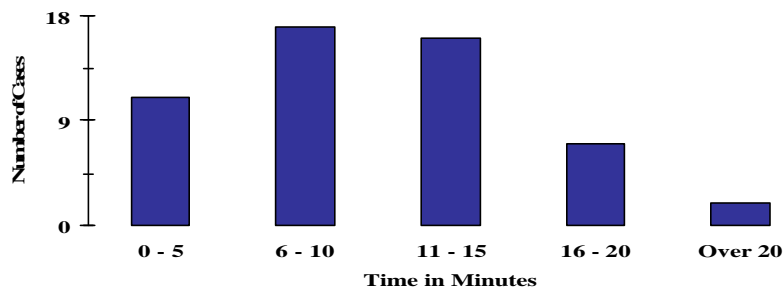
PARETO CHART – A Pareto Chart can be used to measure process improvement by showing the data, ranking categories, and identifying areas to be worked first. It provides a way of organizing data to show what major factors make up the indicator being analyzed. It is useful in showing the many parts of a whole such as all the sub-indicators of a core indicator.

Sources of Problems-First Quarter



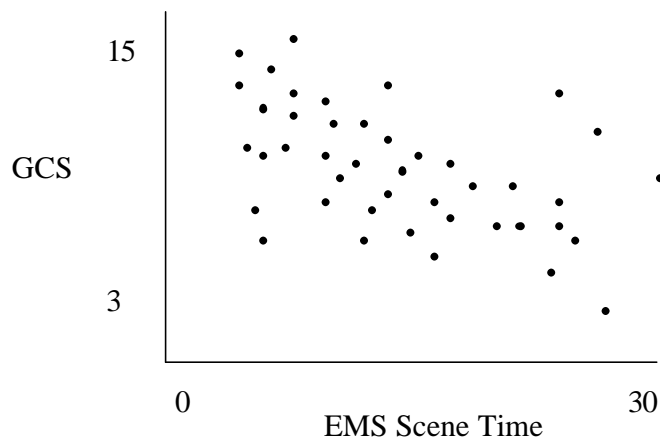
HISTOGRAM – A histogram provides a graphic representation of data distribution. Data is represented by bars of equal width or category and the height of these bars indicate the relative number of data points in each category.

EMS Time to Scene

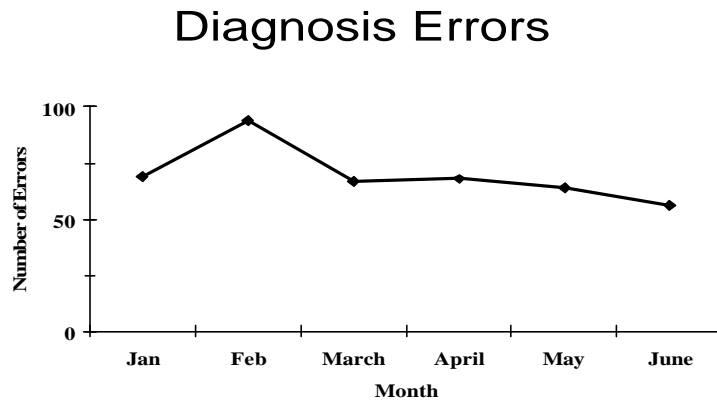


SCATTER DIAGRAM - A scatter diagram is a visual display showing the relationship between two variables. It is useful in showing the relationship of a process to an outcome (time & survival).

EMS Scene Time by Patient GCS

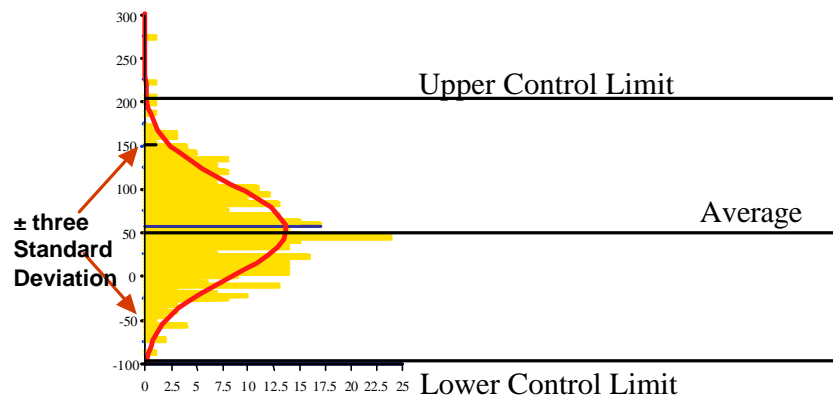


RUN CHART – A run chart can show the trend of a process or characteristic over a given period of time. Shows mean or average line when sufficient data is available.



CONTROL CHART – A control chart provides graphic presentation of a line graph specifically used to track the trend or performance of a process over time. It is useful in the demonstration process variability.

Anatomy of a Control Chart



APPENDIX M

QUALITY IMPROVEMENT INDICATORS

Reviewed by ICEMA QI Committee

Approved by Medical Director and Executive Director

| | | |
|---|---|---|
| ICEMA Core Indicator Index # BH1A | ICEMA BASE HOSPITALS | |
| Measure | Base Hospitals per Response Area | |
| CORE INDICATOR REF #BH1 | SYSTEM OPERATIONS | |
| Objective | BASE HOSPITALS – MEDICAL CONTROL | |
| Classification | <input type="checkbox"/> to measure the number of designated base hospitals serving a specific-geographic EMS response area. | |
| Type of Measure | <input type="checkbox"/> System operations – medical control | |
| Domain of Performance | <input type="checkbox"/> Structural | |
| Indicator Reporting Value | <input type="checkbox"/> Component | |
| Display Format | <input type="checkbox"/> % | |
| Frequency of Display | <input type="checkbox"/> List <input type="checkbox"/> Cube Chart <input type="checkbox"/> Geo Map | |
| Measures of Central Tendency | <input type="checkbox"/> Monthly x 12 <input type="checkbox"/> mean - No <input type="checkbox"/> mode - No <input type="checkbox"/> variance - No <input type="checkbox"/> standard deviation - No | |
| Trending Analysis | <input type="checkbox"/> NA | |
| Minimum Data Values | <input type="checkbox"/> 1 values per measure | |
| Sampling | <input type="checkbox"/> Periodic - Rate | |
| Aggregation | <input type="checkbox"/> Yes | |
| Blinded | <input type="checkbox"/> Yes | |
| Beta Testing | <input type="checkbox"/> None to Date | |
| Population Denominator (D) | <input type="checkbox"/> the designated geographic response area. Area defined by local EMS authority a specific EMS response area. | |
| Denominator | Inclusion Criteria | EMSA Data Elements |
| | <input type="checkbox"/> Specific geographic area designated as an EMS response area. | <input type="checkbox"/> EMSA # <input type="checkbox"/> |
| Denominator Data Source | <input type="checkbox"/> LEMSA <input type="checkbox"/> Designated Base Hospital <input type="checkbox"/> EMS Service Provider | |
| Population Subset Numerator (N) | <input type="checkbox"/> the number of EMS designated base hospitals located within the response area as defined in denominator. | |
| Numerator | Inclusion Criteria | EMSA Data Elements |
| | <input type="checkbox"/> Base Hospitals as designated by the local EMS Authority <input type="checkbox"/> Hospitals that function in a on-line medical control capacity | <input type="checkbox"/> EMSA# |
| Numerator Data Source | <input type="checkbox"/> LEMSA <input type="checkbox"/> Designated Base Hospital <input type="checkbox"/> EMS Service Provider | |
| Description of Indicator Formula | <input type="checkbox"/> NA | |
| Indicator Formula Numeric Expression | <input type="checkbox"/> NA | |
| Linkage | Linkage Options | EMSA Data Elements |
| | <input type="checkbox"/> | <input type="checkbox"/> EMSA # |

| Stratification | Options | EMSA Data Elements |
|-------------------------------------|---|--|
| | <input type="checkbox"/> | <input type="checkbox"/> EMSA # <input type="checkbox"/> EMSA # |
| Indicator Exclusion Criteria | <input type="checkbox"/> non-designated hospitals <input type="checkbox"/> non-designated EMS response areas | |
| References | <ul style="list-style-type: none"> • Neely KW et al: The effect of base hospital contact on ambulance destination, Ann of Emerg Med 19:906-909, 1990 • Holroyd B, Knopp R, Kallsen G: Medical control: quality assurance in Prehospital care, JAMA 256(8): 1027-1031, 1986 • National Highway Traffic Safety Administration and Health resources and Services Administration. (2000). Agenda for the Future; a systems approach. Washington D.C. | |
| Source | <input type="checkbox"/> California EMSA Vision Project | |

1/19/05 Review by ICEMA QI Committee

| | | |
|---|--|---|
| ICEMA Core Indicator Index # BH1B | ICEMA BASE HOSPITALS | |
| Measure | Caseloads per 24 hrs - Base Hospitals | |
| CORE INDICATOR REF #BH1 | SYSTEM OPERATIONS BASE HOSPITALS – MEDICAL CONTROL | |
| Objective | <input type="checkbox"/> to measure the average number of calls made to a designated base hospital for medical control service during a 24-hour period and those calls which were related to destination only. | |
| Classification | <input type="checkbox"/> System operations – medical control | |
| Type of Measure | <input type="checkbox"/> Process | |
| Domain of Performance | <input type="checkbox"/> Component | |
| Indicator Reporting Value | <input type="checkbox"/> Average number of calls per 24 hr | |
| Display Format | <input type="checkbox"/> List <input type="checkbox"/> Cube Chart | |
| Frequency of Display | <input type="checkbox"/> Monthly x 12 | |
| Measures of Central Tendency | <input type="checkbox"/> mean - Yes <input type="checkbox"/> mode - No <input type="checkbox"/> variance - No <input type="checkbox"/> standard deviation - Yes | |
| Trending Analysis | <input type="checkbox"/> Yes | |
| Minimum Data Values | <input type="checkbox"/> 1 call per measure | |
| Sampling | <input type="checkbox"/> Periodic - Rate | |
| Aggregation | <input type="checkbox"/> Yes | |
| Blinded | <input type="checkbox"/> Yes | |
| Beta Testing | <input type="checkbox"/> None to Date | |
| Population Denominator (D) | <input type="checkbox"/> 1 hour . | |
| Denominator | Inclusion Criteria | EMSA Data Elements |
| | <input type="checkbox"/> Specific 24 hours | <input type="checkbox"/> EMSA # <input type="checkbox"/> |
| Denominator Data Source | <input type="checkbox"/> LEMSA <input type="checkbox"/> Designated Base Hospital | |
| Population Subset Numerator (N) | <input type="checkbox"/> the number of EMS calls for medical control service made to a designated base hospital | |
| Numerator | Inclusion Criteria | EMSA Data Elements |
| | <input type="checkbox"/> Base Hospitals as designated by the local EMS Authority <input type="checkbox"/> Calls made to a designated base hospital to provide on-line medical control to a EMS service provider | <input type="checkbox"/> EMSA# |
| Numerator Data Source | <input type="checkbox"/> LEMSA <input type="checkbox"/> Designated Base Hospital | |
| Description of Indicator Formula | <input type="checkbox"/> NA | |
| Indicator Formula Numeric Expression | <input type="checkbox"/> NA | |
| Linkage | Linkage Options | EMSA Data Elements |
| | | <input type="checkbox"/> EMSA # |

| Stratification | Options | EMSA Data Elements |
|-------------------------------------|--|---|
| | <input type="checkbox"/> By Time of Day <input type="checkbox"/> By Base Hospital <input type="checkbox"/> By EMS Response Calls <input type="checkbox"/> By Chief Complaint <input type="checkbox"/> By Orders Given | <input type="checkbox"/> EMSA # <input type="checkbox"/> EMSA # <input type="checkbox"/> EMSA # <input type="checkbox"/> EMSA # <input type="checkbox"/> EMSA # |
| Indicator Exclusion Criteria | <input type="checkbox"/> non-designated Base Hospitals <input type="checkbox"/> Calls not made to a designated Base Hospital | |
| References | <ul style="list-style-type: none"> • Neely KW et al: The effect of base hospital contact on ambulance destination, Ann of Emerg Med 19:906-909, 1990 • Holroyd B, Knopp R, Kallsen G: Medical control: quality assurance in Prehospital care, JAMA 256(8): 1027-1031, 1986 • National Highway Traffic Safety Administration and Health resources and Services Administration. (2000). Agenda for the Future; a systems approach. Washington D.C | |
| Source | <input type="checkbox"/> California EMSA Vision Project | |

1/19/05 Review by ICEMA QI Committee

GLOSSARY OF TERMS

Bar Chart - A graphic presentation which represents quantities through the use of bars of uniform width but heights proportional to number being represented.

Beta Testing - To perform an exercise in obtaining, analyzing, and presenting a specific indicator.

CEMSIS - California Emergency Medical Services Information System.

CEMSIS Denominator Data Elements - The specific data points/elements as identified in the CEMSIS data required to perform the data query as related to the specific indicator.

CEMSIS Linkage Data Elements - The CEMSIS data elements that may be used to link data.

CEMSIS Numerator Data Elements - The specific data points/elements as identified in the CEMSIS data required to perform the data query as related to the specific indicator.

CEMSIS Stratification Elements - The specific data points/elements as identified in the CEMSIS data which may be used to stratify a specific indicator.

Classification - Titles given to indicator based upon the organization and catalog of all indicators.

Control chart - Graphic presentation of a line graph specifically used to track the trend or performance of a process over time. Useful in demonstration process variability.

Core Indicator - The lead indicator being analyzed. Core indicators are composed of several sub-indicators (smaller indicators), which are major contributing factors to the final core indicator result.

Core Indicator Index # - Index number as classified by state EMS vision project.

Core Indicator name - Name given to the core indicator.

(D) Symbol - Represents denominator.

Data Aggregation - To blend all data together.

Data Blinded - Withholding identification of data sources or subjects.

Data Sampling - Obtaining information from a data source.

Data Linkage - Relating to two separate data sources or data banks to the same subject.

Data Stratification - Breaking down of the whole into smaller related sub-groups.

Denominator (D) Inclusion Criteria - Specific data element/points needed to perform the data query as related to the specific indicator.

Denominator (D) Data Source - The instrument used to capture the data.

Description of Indicator Formula - Narrative of how indicator result is mathematically derived.

Display Format - The medium or style in which the final indicator results are displayed

Domain of Performance - The category of performance being evaluated.

EMS Service Provider - An organization employing EMT-I, EMT-II, or EMT-P certified or licensed personnel for the delivery of emergency medical care to the sick and injured at the scene of an emergency and/or transport to a general acute care hospital.

EMS System Quality Improvement (EMS-QI) - An organized and formal effort to continually achieve superior outcomes through ongoing evaluation of performance indicators by system users and providers within an organized EMS Health Delivery System.

Effectiveness - How well a system is meeting an expressed objective or established benchmark.

Frequency - How often a system is meeting an expressed objective or established benchmark.

Frequency of Display - How often a specific indicator unit should be displayed over time.

Histogram - A visual representation of the spread or distribution of the data categories. Data are represented by bars of equal width or category and the height of these bars indicate the relative number of data points in each category.

Indicator Formula Numeric Expression - Mathematical expression of how indicator result is derived.

Indicator Reporting Value - The numeric value of the indicator result.

Line graph - A visual display of data for comparison. Specific data points are entered by numbers are connected by a line. Useful in demonstrating a data pattern.

Linkage Options - The different elements that may be used to link two separate data sources or banks.

Measures of central tendency - Values that describe the middle or majority of the data.

Measures of dispersion - Values that describe how the data is spread out from the average.

Mean - (Average) Sum of all data divided by the number of data points.

Median - The middle of all ranked and counted data points.

Minimum Data Values - The smallest number of data values which must be available to perform a measure and analysis.

Mode - The value repeated most often in the raw data.

(N) Symbol - Represents the numerator.

Numerator (N) Data Source - The instrument used to capture the data.

Numerator (N) Inclusion Criteria - Specific data element/points needed to perform the data query as related to the specific indicator.

Objective - An expression or description of the information that indicator is seeking to measure.

Outcome - The result of activities (processes) performed by attributes (structures) within a system measures of intended system performance.

Pareto chart - A way of organizing data to show what major factors make up the indicator being analyzed. Useful in showing the many parts of a whole such as all the sub-indicators of a core indicator.

Performance Indicator - A point of comparison used to answer the question "how are we doing?"

Performance Measurement - The process of measuring accomplishments, as well as measuring in process parameters.

Periodic - Sampling specific data at random periods with random amounts.

Pie chart - A graphic presentation that compares relative magnitude of frequencies or parts of a whole. Useful in presenting outcome and process information.

Population Exclusion Criteria - Specific data element/points which may be used to exclude related data from a specified data query.

Population Denominator (D) - The overall subject that the indicator measures.

Population Subset Numerator (N) - The subset measurement of the denominator population.

Process - The repeatable sequence of actions used throughout interrelated components of a prehospital EMS system to produce something of value.

Process variation - Evaluations to determine if variations within a process are statistically out of control.

Range - Maximum single data value minus the minimum single data value.

Rate - Sampling specific data at a specific time for a specific amount.

References - Information related to the indicator which may contain helpful comparisons on indicator performance, best practices or benchmarks.

Scatter diagram - A visual display showing the relationship between two variables. Useful in showing the relationship of a process to an outcome (e.g., time & survival).

Sentinel - Sampling all specific data at all times.

Source - Origin of indicator development.

Special cause - The result of a statistical trending measurement which shows a cause in variation is statistically significant and warrants further evaluation.

Standard deviation - A measurement that shows how widely spread (dispersed) any set of data is from the average.

Stratification Options - The common data elements that may be used to stratify a specific indicator.

Structure - The interrelated components forming a prehospital system.

Success Rate - How often a specific activity (process) is actually performed successfully.

Sub Indicator - Smaller indicators that are major contributing factors to a core indicator.

Sub Indicator index # - Index number as classified by state EMS vision project.

Sub Indicator name - Name given to subject sub-indicator.

Trending Analysis - To perform a series of statistical tests to determine significant variation in a process

Type of Measure - Identifies whether an indicator is structural, process, or outcome information.